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Large filter feeding marine organisms as indicators of microplastic in the pelagic environment: the case studies of the Mediterranean basking shark (*Cetorhinus maximus*) and fin whale (*Balaenoptera physalus*)

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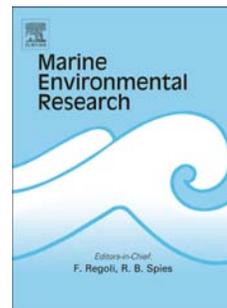
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1 **Large filter feeding marine organisms as indicators of microplastic in the pelagic environment:**  
2 **the case studies of the Mediterranean basking shark (*Cetorhinus maximus*) and fin whale**  
3 **(*Balaenoptera physalus*)**

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14

15 **ABSTRACT**

16 The impact of microplastics (plastic fragments smaller than 5 mm) on large filter feeding marine  
17 organisms such as baleen whales and sharks are largely unknown. These species potentially are  
18 ingesting micro-litter by filter feeding activity. Here we present the case studies of the  
19 Mediterranean fin whale (*Balaenoptera physalus*) and basking shark (*Cetorhinus maximus*)  
20 exploring the toxicological effects of microplastics in these species measuring the levels of  
21 phthalates in both species. The results show higher concentration of MEHP in the muscle of  
22 basking shark in comparison to fin whale blubber. These species can be proposed as indicators of  
23 microplastics in the pelagic environment in the implementation of Descriptor 8 and 10 of the EU  
24 Marine Strategy Framework Directive (MSFD).

25

26 **Keywords:** microplastic, phthalates, organochlorines, basking shark, fin whale, Mediterranean Sea.

27

28 **1. INTRODUCTION**

29 Are the largest filter feeder marine organisms affected by any of the smallest human debris? How  
30 can 5 mm plastic debris affect 24 m long marine mammals and 7 m long sharks? In this paper we  
31 investigate the invisible war between the Mediterranean fin whale (*Balaenoptera physalus*) and  
32 basking shark (*Cetorhinus maximus*) against the smallest marine debris and their potential  
33 toxicological effects. Why microplastics may pose a threat to these species?

34 In 2009, 230 million tons of plastics were produced globally, Europe is the second larger producer  
35 of plastic (Plastics Europe, 2012). According to sea-based sources such as shipping, fishing and  
36 transport activities (Derraik, 2002) and land-based sources such as tourism, adjacent industries or  
37 river inputs (Browne et al., 2010), plastics are entering our seas and oceans, “posing a complex  
38 and multi-dimensional challenge with significant implications for the marine and coastal  
39 environment and human activities all over the world” (UNEP, 2009).

40 For the Mediterranean environment marine litter (including plastic) represents a serious concern  
41 (UNEP 2009, UNEP/MAP 2011, MSFD 2011). Three billions of litter items float or cover the sea  
42 bottom in the Mediterranean Sea, which 70-80% is plastic waste. The increasing of marine litter is  
43 mainly related to waste production in-land with an average amount of municipal solid waste in the  
44 EU of 520 kg per person/year and a projected increase to 680 kg per person/year by 2020.

45 The incidence of debris in the marine environment is cause for concern. It is known to be harmful  
46 to marine organisms and to human health (Derraik 2002; Gregory 2009; Wright et al., 2013), it  
47 represents a hazard to maritime transport, it is aesthetically detrimental, and may also have the  
48 potential to transport contaminants (Mato et al., 2001; Teuten et al., 2009). Marine debris, and in  
49 particular the accumulation of plastic debris, has been identified as a global problem alongside  
50 other key issues such as climate change, ocean acidification and loss of biodiversity. Impacts vary  
51 depending on the type and size of debris and the organisms affected.

52 The occurrence of microplastics (MPs - generally defined as fragments less than 5 mm in  
53 dimension - NOAA) in the ocean is an emerging world-wide concern. Due to high sorption capacity  
54 of plastics for hydrophobic organic chemicals, the adherent chemicals can be transported by MPs  
55 travelling long distances (Lee et al., 2013). MPs can serve as carrier of persistent organic pollutants  
56 (POPs) in marine ecosystems (Rochman et al., 2013; Koelmans et al., 2013). Small plastic particles  
57 in the environment are of particular concern as a wide range of organisms, from plankton to larger

58 vertebrates such as turtles or whales, may ingest them (Wright et al, 2013). In particular, while  
59 evidence of macro and microplastic negative effects on marine organism is growing, little scientific  
60 investigation has focused on the problem in the Mediterranean. More information is required  
61 about plastic and microplastic inputs, spatial and temporal distributions, including transport  
62 dynamics, interactions with biota and potential accumulation areas.

63 Microplastics found in the marine environment are likely to be derived either directly or through  
64 the fragmentation of larger items. MPs can be subdivided by usage and origin as: i) Primary,  
65 pellets used in the plastics industry, and in certain applications such as abrasives; ii) Secondary,  
66 fragments resulting from the degradation and breakdown of larger items.

67 Microplastics floating over water are transported by ocean currents and are found in regions  
68 where water circulation is relatively stationary or on sea shores (Hidalgo-Ruz et al., 2012). A  
69 number of heavily produced low density plastics (e.g. polypropylene, polyethylene, and  
70 polystyrene) have been identified as the main components of MPs, and these have various shapes  
71 and sizes, ranging from a few micrometers to a few millimeters (Hidalgo-Ruz et al., 2012; Martins  
72 and Sobral, 2011).

73 Microplastics are accumulating at the sea surface, especially within the neustonic habitat (Ryan et  
74 al., 2009) that included a specifically adapted zooplankton fauna. Basking shark and particularly fin  
75 whale, being characterized by a long life span, could be chronically exposed to these persistent  
76 contaminants both leaching from microplastic ingestion and degradation and through the food  
77 chain.

78 Recent studies have identified potential effects of plastic particles mainly in invertebrates and fish,  
79 including: I) transport of persistent, bioaccumulating and toxic (PBT) substances from plastics; II)  
80 leaching of additives such as phthalates from the plastics; III) physical harm (Wright et al., 2013).

81 However, there is still little monitoring data on the occurrence of microplastics in large marine  
82 vertebrates. Until the paper of Fossi et al. (2012), no data were reported on the impacts of  
83 microplastics on large filter feeding marine organisms such as baleen whales or sharks. These  
84 species potentially undergo to the ingestion of micro-litter by filtrating feeding activity.

85 In this paper we focus on the case study of the two large Mediterranean filter feeders, the fin  
86 whale and basking shark.

87 The basking shark (*Cetorhinus maximus* Gunnerus, 1765) is a very large, filter-feeding cold-water  
88 and migratory pelagic species. It is widely distributed throughout temperate waters but only  
89 regularly seen in few favoured coastal locations. It may be considered frequently present in the  
90 Mediterranean, especially in the North-Western part, mainly in spring (Mancusi et al., 2005).  
91 Basking sharks are regular seasonal visitors in coastal waters of Sardinia, where between 2005-  
92 2012 a total of 111 individuals (including 14 captures) were recorded within “Operazione Squalo  
93 Elefante”, the first dedicated basking shark research project in the Mediterranean basin (de Sabata  
94 and Clò, 2010). The basking shark is one of only three shark species that filter seawater for  
95 planktonic prey. It captures zooplankton by forward swimming with an open mouth, so that water  
96 passively flows across the gill-raker apparatus. The rates of gastro-intestinal evacuation in basking  
97 sharks are unknown; however, filtration rates have been estimated using measurements of  
98 swimming speed and mouth gape area. Seawater filtration rate for a 7m basking shark (mouth  
99 gape area ca. 0.4 m<sup>2</sup>) swimming at a speed of 0.85 m s<sup>-1</sup> was calculated to be 881 m<sup>3</sup> h<sup>-1</sup>; if it fed  
100 constantly in food patches, a so 5–7 m long basking shark might consume 30.7kg of zooplankton in  
101 a day (Sims, 2008). During this massive filtering activities the basking shark could of the ingestion  
102 and degradation of microplastics.

103 Due to its slow growth rate, lengthy maturation time, long gestation period, probably low  
104 fecundity, probable small size of existing populations - some severely depleted by targeted  
105 fisheries - the basking shark is classified by the IUCN Red List of Threatened Species as  
106 “Endangered” in the North-East Atlantic Ocean and “Vulnerable” in the Mediterranean Sea  
107 (Fowler 2009; Cavanagh and Gibson, 2007). It is listed in all four major International conventions  
108 (Bern, CMS, CITES, Barcelona). Every year basking sharks are accidentally caught in small-scale  
109 fisheries throughout the Mediterranean region.

110 The fin whale (*Balaenoptera physalus*, Linnaeus 1758), one of the largest filter feeders in the  
111 world, feeds primarily on planktonic euphausiid species. This baleen whale, the only resident  
112 mysticete in the Mediterranean Sea, forms aggregations during the summer on the feeding  
113 grounds of the Pelagos Sanctuary Marine Protected Area (MPA). The fin whale is a wide ranging  
114 cetacean. It is found in largest water masses of the world, from the Equator to the polar regions,  
115 but, in spite of its cosmopolitan distribution, it is classified as “Endangered” by the IUCN Red List of  
116 Threatened Species. Fin whale feeding, in general, has been described as the largest  
117 biomechanical event that has ever existed on earth (Croll and Tershy, 2002). Fin whales capture

118 food by initially swimming rapidly at a prey school and then decelerating while opening the mouth  
119 to gulp vast quantities of water and schooling prey. Fin and blue whales foraging on krill off the  
120 coast, concentrate their foraging effort on dense aggregations of krill (150–300 m) in the water  
121 column during the day, and near the surface at night (Croll et al., 2005).

122 With each mouthful, the fin whales can trap approximately 70,000 l of water. Since their feeding  
123 activities include surface feeding and, they undergo to the risk of the ingestion of MPs occurring in  
124 the sea surface and consequent degradation once ingested by the organism. Seawater filtration  
125 daily is 5893 m<sup>3</sup> rate with 913 kg of plankton consumed daily.

126 One major toxicological aspect of MPs in the marine environment and, consequentially, on filter-  
127 feeding organisms, is the influence that microplastics may have on enhancing the transport and  
128 bioavailability of PBT persistent, bioaccumulative, and toxic substances. These two large filter  
129 feeders species (fin whale and basking shark) could therefore face risks caused by the ingestion  
130 and degradation of microplastics.

131 PBT compounds, such as dichlorodiphenyltrichloroethane (DDT) or polychlorinated biphenyls  
132 (PCBs), are of particular concern for human health and the environment. Plastic debris can be a  
133 source of PBT chemicals. Some plastic debris can release toxic chemicals that have been added to  
134 enhance the performance of the plastic (such as phthalates, nonylphenol, bisphenol A,  
135 brominated flame retardants). Plastic debris may also be a sink for toxic chemicals: toxic chemicals  
136 from the environment can sorb to the debris and to be released once inside the organism (Engler  
137 2012, Lithner et al., 2011). Since PBT chemicals, generally, have low solubility in marine water they  
138 tend to migrate into water microlayers where they tend to migrate to microdebris or in the  
139 sediments also biomagnifying the concentration and effect in organisms that can ingest MP  
140 particles. Furthermore, plastic debris sorbs PCBs and DDE about one hundred times more than  
141 naturally suspended organic matter. PCBs and DDE sorb to debris with a partition coefficient,  $K_d$ ,  
142 of approximately 100 000–1 000 000 over seawater. Similarly, phenanthrene, a PAH, partitions to  
143 plastic debris 380–13 000-fold over seawater (Engler 2012).

144 Most of the chemicals absorbed or added to plastic that can potentially affect organisms (Teuten  
145 et al., 2007) have endocrine disruptors potency and affect population viability.

146 Phthalates are a class of chemicals commonly used to make rigid plastics softer to enhance the use  
147 of some plastic polymers. Phthalates generally do not persist in the environment, but may leach

148 from plastic debris on a fairly steady basis. The dialkyl- or alkyl/aryl esters of 1,2-  
149 benzenedicarboxylic acid, commonly known as phthalates, are high-production volume synthetic  
150 chemicals. They are not covalently bound to plastic so can migrate from the products to the  
151 environment, thus becoming ubiquitous contaminants (Latini et al., 2004; Latini et al., 2009). Di-(2-  
152 ethylhexyl) phthalate (DEHP) is the most abundant phthalate in the environment; DEHP, in  
153 organisms, both invertebrates and vertebrates, is rapidly metabolized in its primary metabolite,  
154 MEHP (mono-(2-ethylhexyl) phthalate (Barron et al., 1989), that can be used as marker of  
155 exposure to DEHP.

156 Concerning the problem of marine litter in EU waters, the amount of marine litter in the  
157 Mediterranean environment and the effects on sentinel organisms need to be reduced to achieve  
158 the GES (Good Environmental Status) as planned by the European Marine Strategy Framework  
159 Directive (MSFD) by 2020. As an amendment to the MSFD the “composition of micro-particles (in  
160 particular microplastics) has to be characterized in marine litter in the marine and coastal  
161 environment” (European Parliament and the Council, 2010). Currently, there is a severe gap in  
162 establishing the presence and effects of MPs on Mediterranean marine , which must be done with  
163 sentinel species to determine effects and implement future mitigation actions.

164 Here we present the case studies of the two Mediterranean larger filter feeders, the fin whale  
165 (*Balaenoptera physalus*) and basking shark (*Cetorhinus maximus*), exploring the toxicological  
166 effects of MPs in these species and suggesting the possible implication as indicators of MPs in the  
167 pelagic environment in the implementation of the European MSFD. We also suggest the possible  
168 implication of considering these species as indicators of MPs in the pelagic environment in the  
169 implementation of the European MSFD. We also suggest the use of phthalates and  
170 organochlorines in plankton, shark and whale, as a tracer of microplastics assumption by ingestion  
171 in these species.

172

## 173 2. MATERIAL AND METHODS

174

175 This work is implemented through three main steps: 1) detection of phthalates in *Euphausia*  
176 *krohni*; 2) detection of phthalates and organochlorine compounds (OCs) in accidentally caught  
177 basking shark in Italian waters; 3) detection of phthalate and OCs content in stranded fin whale

178 specimens collected on the Italian coasts. Details on gender, size, date and location of the  
179 stranded animals are reported in Fig.2.

180

## 181 **2.1 Specimens and sampling sites**

182 Ten pools of 30-40 specimens of the Euphausiidae *Euphausia kronhi* were sampled during two  
183 expeditions in collaboration with National Council of Research (CNR) with the Oceanographic  
184 ship "Urania" in the Channel of Sicily, South Mediterranean Sea.

185 Muscle samples were collected from accidentally caught specimen of basking shark in Italian  
186 waters within "Operazione Squalo Elefante", during the period 2007–2013: four in the Pelagos  
187 Sanctuary (3 in Sardinia, 1 in the Ligurian Sea) and one off the southern border (Latium), one in  
188 Mola di Bari (Puglia) (Fig.1). Blubber and muscle of five stranded fin whales were collected along  
189 the Italian coasts during the period 2006 –2013 in five different locations. Details on gender and  
190 location of the stranded whales are reported in Fig.1.

191

## 192 **2.2 Detection of phthalate content in euphausiids, stranded fin whale and basking shark** 193 **specimens**

194 DEHP and MEHP were extracted from *E. kronhi* samples (30-40 individuals), plus blubber samples  
195 (1 g) and muscle (1 g) of five stranded fin whales and in the muscle (0.5 g) of six accidentally  
196 caught basking sharks using the described method. DEHP (di-(2-ethylhexyl) phthalate) and MEHP  
197 (mono-(2-ethylhexyl) phthalate) were analyzed from the subsamples following a method  
198 described by Takatori and colleagues (2004) with few modifications. Each sample was thawed,  
199 weighted and transferred into a 15 ml tube. To this were added 4 ml of acetone. The sample thus  
200 obtained was sonicated for 2 minutes and stirred for 5 minutes and then centrifuged for 15  
201 minutes at 3000 rpm to separate the organic part, containing DEHP and MEHP, from the  
202 remainder water. Then, 4 ml of supernatant were placed in a further 15 ml tube. Infranant was  
203 again added to 1 ml of acetone, and was sonicated for 2 min, agitated for 5 minutes and  
204 centrifuged for 15 minutes at 3000 rpm for a further separation of the organic from aqueous  
205 medium. Then the supernatant phase was recovered and rebuilt with that resulting from the first  
206 extraction. The supernatants, mixed well, were then evaporated in a centrifugal evaporator. The  
207 extract was then resuspended with 0.5 mL of acetonitrile and passed through a nylon filter.  
208 Subsequently, the final volume was adjusted to 0.5 ml, which were placed in an autosampler vial

209 and injected into a LC-ESI-MS system. The instrumental analysis was performed using a Finnigan  
210 LTQ Thermo LC/MSn 110 with ESI interface. 5  $\mu$ l of extracted sample were injected via  
211 autosampler in the HPLC system. A reverse phase HPLC column (Wakosil3C18, 2.0 $\times$ 100 mm; Wako  
212 Pure Chemical Industries Ltd.) was used. The mobile phases consisted of 100% acetonitrile (A) and  
213 0.05% aqueous acetic acid (B). Elution was performed using an isocratic mode (A/B: 15/85, v/v) at  
214 0.25 ml/min. The chromatographic run for each sample had duration of 30 minutes. ESI-MS was  
215 operated in negative or positive ion mode depending on the analytes (MEHP was detected in  
216 negative mode, while DEHP in the positive mode). The heated capillary and voltage were  
217 maintained at 500  $^{\circ}$ C and  $\pm$ 4.0 kV, respectively. The daughter ions were formed in the collision cell  
218 using N<sub>2</sub> gas as the collision gas. The ions used for identification were (parent ion/daughter ion)  
219 277/134 120 and 391/149 for MEHP and DEHP respectively. For the quantitative analysis four-  
220 point calibration curve, prepared by progressive dilution of a solution of the two analytes of  
221 interest was used. Blanks were analyzed with each set of five samples as a check for possible  
222 laboratory contamination and interferences. Data quality assurance and quality control protocols  
223 included also matrix spikes, and continuing calibration verification. The limits of detection (LODs)  
224 and limits of quantification (LOQs) for the compounds analyzed are the value of the compound in  
225 the blanks +3 SD and +10 SD, respectively; LOD and LOQ were 1 and 2 ng/g respectively for MEHP  
226 and 5 and 10 ng/g respectively for DEHP. The analytes levels below the limits of detection (<LOD)  
227 were considered with a value equal to the value of the LOD, while, in the cases in which the  
228 analyte was present at levels between the LOD and the LOQ, the LOQ value was used.

229

### 230 **2.3 Detection of OC concentrations in stranded fin whale and basking shark specimens**

231 Analysis for HCB, DDTs and PCBs were performed according to method of U.S. Environmental  
232 Protection Agency (EPA) 8081/8082 with modifications (Marsili and Focardi, 1997). The samples of  
233 1g of blubber (*B. physalus*) and 1g muscle (*C. maximus*) were lyophilized in an Edwards freeze  
234 drier for 2 days. The sample was extracted with n-hexane in a Whatman cellulose thimble (i.d.25  
235 mm, e.d. 27 mm, length 100 mm) in the Soxhlet apparatus for 9 h. The sample was spiked with  
236 surrogate compound (2,4,6-trichlorobiphenyls - IUPAC number 30, Ballschmiter and Zell, 1980)  
237 prior to extraction. This compound was quantified and its recovery calculated. After the  
238 extraction, the sample was purified with sulphuric acid to obtain a first lipid sedimentation. The  
239 extract then underwent liquid chromatography on a column containing Florisil that had been dried  
240 for 1 h in an oven at 110 $^{\circ}$ C. This further purified the apolar phase of lipids that could not be

241 saponified, such as steroids like cholesterol. Decachlorobiphenyl (DCBP - IUPAC number 209) was  
242 used as an internal standard, added to each sample extract prior to analysis, and included in the  
243 calibration standard, a mixture of specific compounds (Aroclor 1260, HCB and pp'- and op'-DDT,  
244 DDD and DDE). The analytical method used was High Resolution Capillary Gas Chromatography  
245 with a Agilent 6890N and a 63Ni ECD and an SBP-5 bonded phase capillary column (30 m long, 0.2  
246 mm i.d.). The carrier gas was N<sub>2</sub> with a head pressure of 15.5 psi (splitting ratio 50/1). The  
247 scavenger gas was argon/methane (95/5) at 40 ml/min. Oven temperature was 100°C for the first  
248 10 min, after which it was increased to 280°C at 5°C/min. Injector and detector temperatures were  
249 200°C and 280°C respectively. The extracted organic material (EOM%) from freeze-dried samples  
250 was calculated in all samples. Capillary gas-chromatography revealed op'- and pp'- isomers of DDT  
251 and its derivatives DDD and DDE, and 30 PCB congeners. Total PCBs were quantified as the sum of  
252 all congeners (IUPAC no. 95, 101, 99,151, 144, 135, 149, 118, 146, 153, 141, 138, 178, 187, 183,  
253 128, 174, 177, 156, 171, 202, 172, 180, 199, 170, 196, 201, 195, 194, 206). Total DDTs were  
254 calculated as the sum of op'DDT, pp'DDT, op'DDD, pp'DDD, op'DDE and pp'DDE. The results were  
255 expressed in ng/g lipid basis (l.b.). The detection limit was 0.1 ng/kg (ppt) for all the OCs analysed.

256

### 257 3. RESULTS AND DISCUSSION

258 In this study, six muscle samples of accidentally caught basking shark in Italian waters and, plus  
259 blubber and muscle samples from in five stranded fin whales (sub-adults and adults) between  
260 2007–2012 in five different sites on the Italian coast were analyzed. All these samples were  
261 analyzed for phthalates and organochlorines (expressed in l.b.) used as potential tracers of  
262 assumption of microplastics during the filtering activities for feeding. Additionally, the crustacean  
263 *E. krohni* was analyzed as one of the major prey of the fin whale and as component of the  
264 zooplankton.

265 The DEHP primary metabolite, MEHP, was analyzed in the stranded specimens and *E. krohni*. The  
266 analysis showed appreciable levels of MEHP in all of the samples, while DEHP was detected in only  
267 one sample (data not shown)(Tab.1).

268 **Table 1.** Organochlorine and MEHP concentrations (ng/g l.b.) in the blubber of Mediterranean *B. physalus*  
269 (BP) and muscle of *C. maximus* (CM).

270

Sample ID	Species	HCB (ng/g l.b.)	$\Sigma$ DDTs (ng/g l.b.)	$\Sigma$ PCBs (ng/g l.b.)	MEHP (ng/g l.b.)
BP 1	<i>B. physalus</i>	129.13	6580.67	9117.09	377.82*
BP 2	<i>B. physalus</i>	286.26	12284.32	8155.00	110.68*
BP 3	<i>B. physalus</i>	157.82	21404.45	42778.45	332.31*
BP 4	<i>B. physalus</i>	180.93	26833.64	24060.13	61.06*
BP 5	<i>B. physalus</i>	201.02	15357.34	16410.87	1.48*
CM 1	<i>C. maximus</i>	41.09	1890.42	1575.57	58.06
CM 2	<i>C. maximus</i>	9.52	2638.73	1710.69	113.94
CM 3	<i>C. maximus</i>	41.02	2177.60	1820.77	50.39
CM 4	<i>C. maximus</i>	10.74	1647.66	1970.62	156.67
CM 5	<i>C. maximus</i>	-	-	-	114.37
CM 6	<i>C. maximus</i>	21.11	1652.64	1820.73	11.17

271 (\*) From Fossi et al. 2012.

272 Interestingly, concentrations of MEHP are twice as high in the cetacean species compared with the  
273 cartilaginous fish (Fig. 2a).

274 The same trend is shown for the organochlorine concentrations, where for the three classes of  
275 OCs investigated (HCB, DDTs and PCBs) were always markedly higher in fin whale specimens  
276 compared to basking sharks (Fig. 2b).

277 Moreover as previously published by Fossi et al. (2012), the presence of harmful chemicals in  
278 Mediterranean fin whales, that were hypothesized to be linked with intake of plastic derivatives by  
279 water filtering and plankton ingestion, are confirmed by the results of this study, which documents  
280 relevant concentrations of MEHP in the blubber of five out of six stranded fin whales. MEHP is a  
281 marker for exposure to DEHP, whereas DEHP was never detected in the fin whale samples.

282 The concentrations of total OCs in the muscle of the three whale specimens are always markedly  
283 higher (DDTs mean value: 15956 ng/g l.b.; PCBs mean value: 16692 ng/g l.b.) than those found in

284 the muscle of the basking shark (DDTs mean value: 2001 ng/g l.b; PCBs mean value: 1779 ng/g  
285 l.b.). The difference between the two species in the bioaccumulation of fat-soluble contaminants  
286 can be linked to a different ability of excretion related to the potential excretory activity through  
287 the gills in fish (Barber, 2008) and bioaccumulation in adipose tissue especially in cetaceans.

288 The PCBs fingerprint of the two target species was compared with neustonic/planktonic and  
289 microplastic samples (NP-MPs) collected in the Pelagos Sanctuary (Fig. 3). Among the 30 PCB  
290 congeners analyzed, the highest percentage (43%) is represented by the PCB 195 in the NP-MPs  
291 samples that is the second most abundant congener in basking shark, while it was detected in very  
292 low percentage in fin whale. This preliminary evidence suggests the use of this PCB congener as  
293 tracer of the absorption of POPs through NP-MPs in surface feeding organisms. Moreover, the  
294 most abundant congeners in fin whale and basking shark is the PCB 153, a congener also abundant  
295 in NP-MPs samples (Fig. 3)

296

#### 297 4. CONCLUSIONS

298 The initial insight into microplastic pollution on Mediterranean scale on the concentration levels  
299 and spatial distribution of microplastics in the area MPA of Pelagos Sanctuary underline that the  
300 mean abundance of microplastics estimated are of the same order of magnitude as that found for  
301 the North Pacific Gyre (Moore et al., 2001). This suggests the high occurrence of this emerging  
302 threat in the only pelagic MPA of the Mediterranean Sea (Collignon et al., 2012; Fossi et al., 2012).  
303 High presence of plastic particles have been detected in superficial neustonic/planktonic from the  
304 Pelagos Sanctuary areas investigated (mean value 0.62 items/m<sup>3</sup>), with levels approximately seven  
305 time higher in the samples from the Ligurian Sea (mean value 0.94 items/m<sup>3</sup>), than the samples  
306 compared to the Sardinian Sea (mean value 0.13 items/m<sup>3</sup>). High concentration of phthalate  
307 MEHP and DEHP have been detected, in superficial NP-MPs samples collected in the Pelagos  
308 Sanctuary areas (MEHP 53.47 ng/g f.w., DEHP 20.36 ng/g f.w) (Fossi et al., 2012). Moreover, the  
309 levels of OCs and microplastic abundance in Mediterranean sea were recently detected in  
310 superficial neustonic/planktonic samples collected in Sardinian sea with PCBs ranging from 1889.6  
311 ng/g d.w. to 3793.1 ng/g d.w. and DDTs from 185.0 ng/g d.w to 2130.1 ng/g d.w. (de Lucia et al.,  
312 *this issue*).

313

314 Until now few studies have addressed the impact of microplastics on filter-feeding organisms or  
 315 other planktivorous animals (Boerger et al., 2010; Cole et al., 2013; Lusher et al., 2013; Murray and  
 316 Cowie, 2011; von Moos et al., 2012). A previous study by of Fossi and collaborators (2012) has  
 317 reported on the potential impact on large filter-feeding organism such as baleen whales.

318 In the present paper, we explore the potential routes of exposure and or absorption of MPs in the  
 319 Mediterranean fin whale and basking shark in relation to their different filter feeding activities  
 320 (Tab. 2).

321

322 **Tab.2.** Comparison between total volume filter daily, total plankton consume daily and theoretical number  
 323 of MP items assumed by *B. physalus* and *C. maximus*.

324

	<i>Balaenoptera physalus</i>	<i>Cetorhinus maximus</i>
<b>Average adult body length</b>	20 m	7 m
<b>Average adult body mass</b>	50,000 kg	4,000 kg
<b>Engulfment volume</b>	71 m <sup>3</sup>	-
<b>Filtration rate</b>	-	881 m <sup>3</sup> h <sup>-1</sup>
<b>Number of lunges day<sup>-1</sup></b>	83	-
<b>Total volume filtered daily</b>	5893 m <sup>3</sup>	21,144 m <sup>3</sup>
<b>Total plankton consumed daily</b>	913 kg	30.7 kg
<b>Theoretical number of MPs items assume daily</b>	3653	13,110

325

326 Basking sharks can sieve small organisms and microdebris from the water. Swimming with mouth  
 327 open, masses of water fill the basking shark mouth with prey flow. After closing its mouth, the  
 328 shark uses gill rakers that filter the nourishment from the water. Gill rakers have thousands of  
 329 bristles in the shark's mouth that trap the small organisms and microdebris which the shark then  
 330 swallows. The water is expelled through the shark's pairs of gill slits. The seawater filtration rate  
 331 for a 7m basking shark (mouth gape area ca. 0.4 m<sup>2</sup>) swimming at a speed of 0.85 m s<sup>-1</sup> was  
 332 calculated to be 881 m<sup>3</sup> h<sup>-1</sup>; we can hypothesize that in the Pelagos Sanctuary areas (mean MPs  
 333 value 0.62 items/m<sup>3</sup>), this species could consume approximately 540 MPs items h<sup>-1</sup>, for a total

334 daily consumption of approximately 13,110 microdebris items, plus any related adherent or  
335 incorporated toxic chemicals such as OCs, PAHs and phthalates (Tab 2).

336 Fin whales exhibit one of the most extreme feeding methods among aquatic vertebrates. Fin  
337 whales, and other *Balaenopteridae*, lunge with their mouth fully agape, thereby generating  
338 dynamic pressure to stretch their mouth around a large volume of prey-laden water, which is then  
339 filtered by racks of baleen (Goldbogen et al., 2007). *Balaenopteridae* are intermittent filter feeders  
340 that ingest mouthfuls of water and separate food from the water before expelling it and,  
341 subsequently, swallowing the prey captured. The filtering apparatus of baleen whales can be  
342 compared to a net or a sieve, depending on the prey, microdebris and water condition through  
343 baleen fringes (Werth, 2001). Considering the seawater filtration rate approximately of 5893  
344 m<sup>3</sup> daily we can hypothesize that fin whale surface feeding in the Pelagos Sanctuary areas (mean  
345 MPs value 0.62 items/m<sup>3</sup>) could consume, a total daily amount of approximately 3653 items and  
346 the relative sink toxic chemicals (Tab 2). Experiment carried out on porosity of baleens in whales  
347 using polymer microsphere (mean particle size 710 µm) pointed out that suspended particles did  
348 not remain on baleen fringes and prey and items fall onto the tongue upon water expulsion  
349 (Werth, 2013). This mechanism suggest that microdebris can be ingested by the whale together  
350 with the prey. Considering this theoretical calculation, the basking shark can ingest daily  
351 approximately a total intake of 3,6 time more MP items than the fin whale. Although, this higher  
352 intake of MPs is however coupled to values of phthalates two time lower and of OCs three times  
353 lower than those found in fin whale. The marked difference between the two species in the  
354 bioaccumulation of phthalates and organochlorines can be linked both to a different ability of  
355 excretion of contaminants related to the presence of a high excretory activity through the gills in  
356 the basking shark but also to the massive ingestion of euphausiid species by fin whale (total  
357 plankton consume daily 913 kg) that show high concentrations of plastic additives (Fossi et al.,  
358 2012). It is well known that the fin whale in the Mediterranean Sea feeds preferentially on the  
359 planktonic euphausiid *Meganyctiphanes norvegica*, even if it feeds on a wide spectrum of marine  
360 organisms, ranging from copepods to other euphausiid species, to small schooling fish (like  
361 *Thysanoessa inermis*, *Calanus finmarchicus*, *Euphausia krohni*) (Notarbartolo di Sciara et al., 2003;  
362 Relini et al., 1992). Preliminary data on MEHP concentration in samples of *Euphausia krohni*  
363 collected in Sicilian Channel show high concentration of this contaminant, ranging from 8.35 to  
364 51.14 ng/g (mean values 36.92 ng/g) and suggesting the presence of plastic additives also in  
365 planktonic species living in the water column. Evidences of ingestion and impact of MPs by

366 invertebrates, in particular zooplankton, have been reported (Cole et al., 2013; Murray and Cowie,  
367 2011). Beside the physical harm and toxicological risk for invertebrates and zooplanktonic species  
368 themselves caused by MPs and through feeding activity, the trophic transfer across the food chain  
369 represent a serious concern, especially for planktivorous species such as baleen whales and  
370 basking sharks.

371

372 Considering both the high presence of MPs in the Mediterranean environment, and particularly in  
373 the MPA of Pelagos Sanctuary, and the detection of plastic additives and OCs in the tissues of  
374 basking sharks and fin whale, large filter feeding marine organisms appear to be chronically  
375 exposed to persistent and emerging contaminants related to prey and MPs ingestion. Rochman et  
376 al. (2014) underline that several classes of compounds can be carried and released by MPs since  
377 organisms living in high density MPs environment show higher plastic-derived chemical pollutants  
378 accumulation in their tissue. The dual sources of contamination could derive from direct leaching  
379 of contaminants (sorbed on or additive) from microplastics and assumption through already  
380 contaminated plankton prey.

381 In this context, the data in this paper suggest the use of phthalates as a tracer of microplastic  
382 ingestion by fin whale and basking sharks. The tracer can serve as a warning signal of exposure to  
383 endocrine disruptors such as MEHP in the endangered Mediterranean population of this baleen  
384 whale and cartilaginous fish.

385 Particular attention has also been given to this new field of research during the recent workshop  
386 organized by IWC and Woods Hole Oceanographic Institution in May 2013 in Woods Hole (MA,  
387 USA) on Assessing the Impacts of Marine Debris on Cetaceans. The workshop recommended that  
388 baleen whales and other large filter feeders should be considered as critical indicators of the  
389 presence and impact of microplastics in the marine environment, in national and international  
390 marine debris strategies. The workshop encouraged also further non-lethal research and the  
391 biomarker development on these endangered Mediterranean species (IWC, 2013).

392 The present study represents the first evidence of plastic additives (phthalates) in Mediterranean  
393 basking sharks and it underlines the importance of future research both on detecting the presence  
394 of and looking for toxicological impacts of microplastics in filter-feeders species such as cetaceans  
395 mysticete, basking shark and devil ray. Due to the wide home-range and high-mobility of these  
396 species, which move in the whole basin all year round, they could represent a wide scale  
397 integrator of the ecotoxicological status of the entire Mediterranean basin. Moreover, occupying

398 these species the lowest position of the food web can be considered as an early warning of the  
399 presence of a mixture of contaminants in the marine food chain.

400 We highlight the value of these species in the implementation of the Descriptor 8 (contaminants)  
401 and Descriptor 10 (marine litter) in the European MSFD, as sentinels of the plastic-related  
402 contamination and presence and impact of micro-litter in the pelagic environment.

403

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405

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413

414

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539

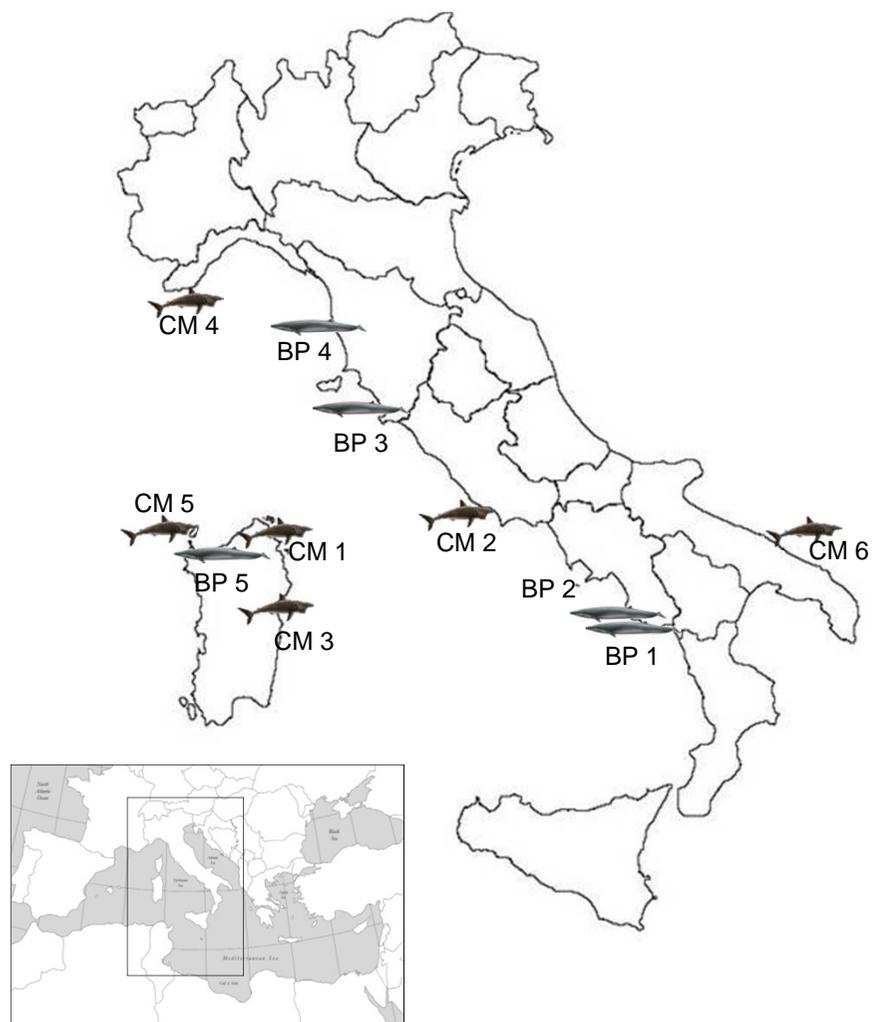
540 **Captions**

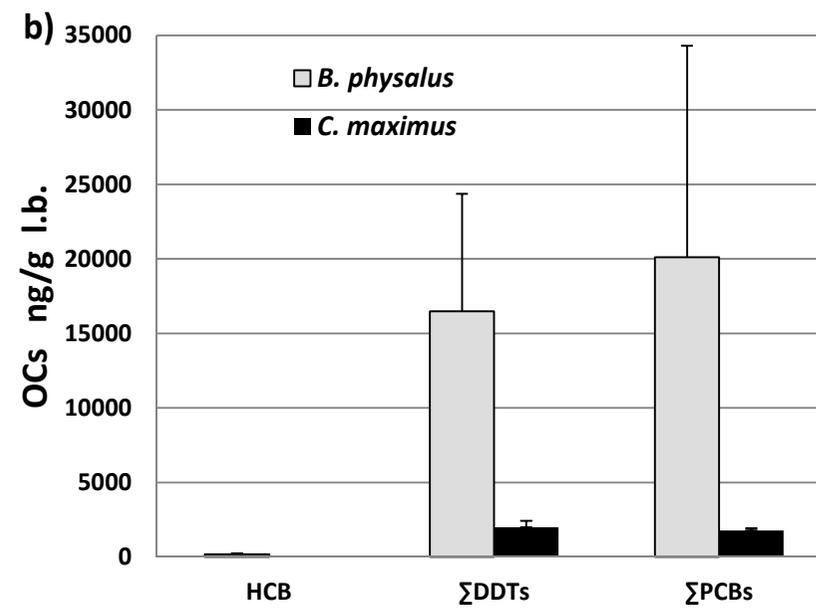
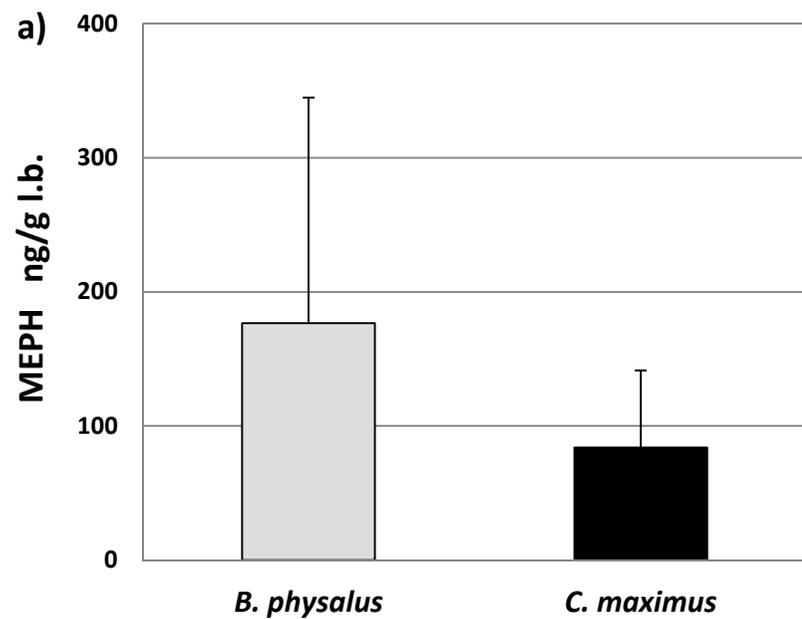
541 **Figure 1.** Details on gender, size, date and location of the stranded specimens of *Balaenoptera*  
542 *physalus* and *Cetorhinus maximus*.

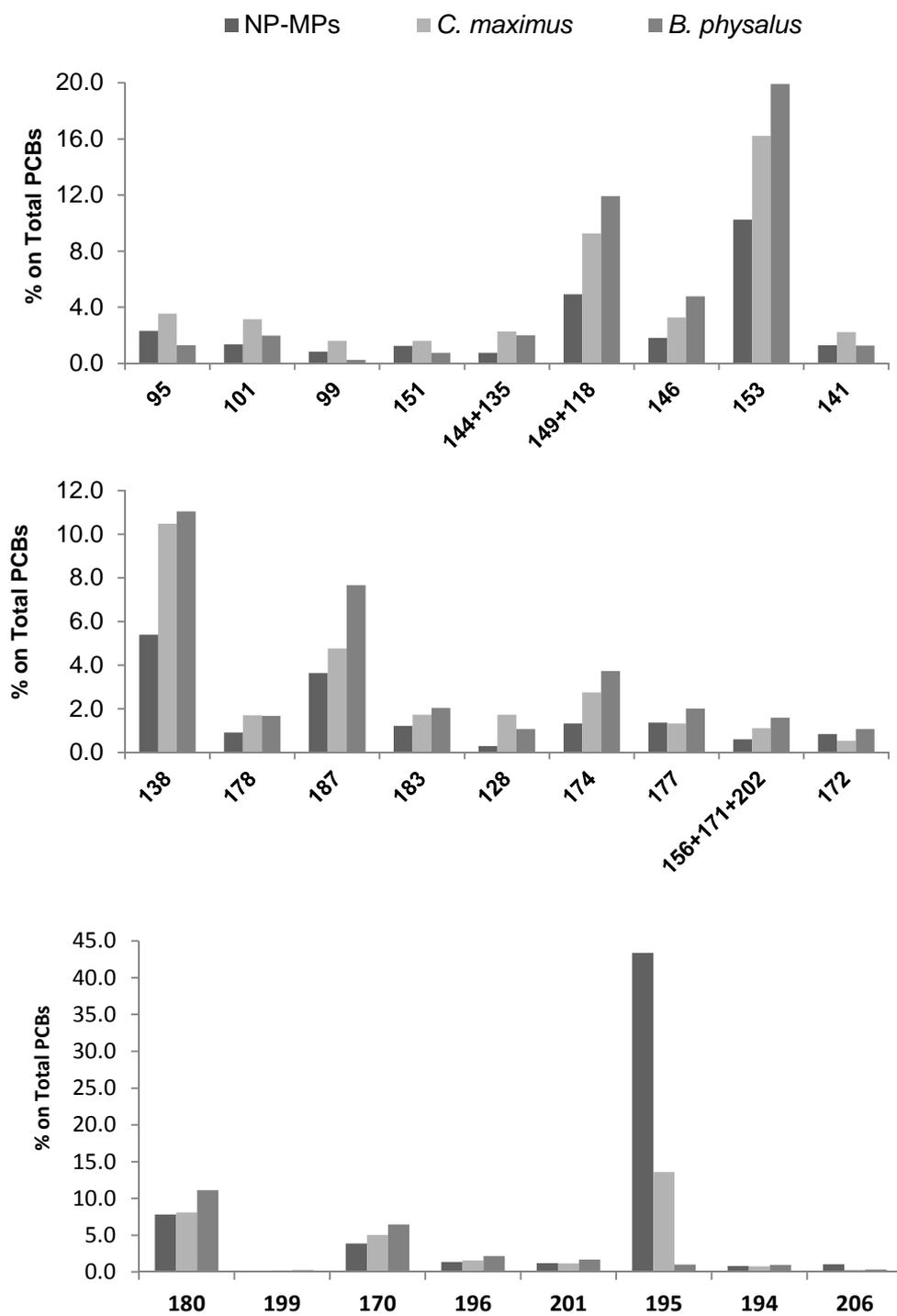
543 **Figure 2.** a) Phthalate (MEHP) and b) Organochlorines concentration (ng/g l.b.) in blubber of  
544 Mediterranean *B. physalus* and muscle of *C. maximus*. Bars show mean value  $\pm$  standard deviation.

545 **Figure 3.** PCBs fingerprint (30 congeners): bars show the percentage of each congeners calculated  
546 on the total concentration of all the congeners analyzed in neustonic/planktonic and microplastic  
547 samples (NP-MPs), *C. maximus* and *B. physalus*. Each graph (a-c) show congeners in the same  
548 order as they are revealed by the instrument.

Sample ID	Area	Date	Length (m)	Sex
<i>Balaenoptera physalus</i>				
BP 1	Palinuro (Campania)	2007	13.00	F
BP 2	Amalfi (Campania)	2007	13.50	F
BP 3	Giannella (Tuscany)	2008	10.40	M
BP 4	S. Rossore (Tuscany)	2011	16,70	M
BP 5	Castelsardo (Sardinia)	2011	8.00	-
<i>Cetorhinus maximus</i>				
CM 1	Capo Figari (Sardinia)	2006	4.50	F
CM 2	Anzio (Latium)	2007	3.50	M
CM 3	Cala Gonone (Sardinia)	2010	8.00	F
CM 4	Ospedaletti (Liguria)	2010	3.90	M
CM 5	Porto Torres (Sardinia)	2006	-	-
CM 6	Mola di Bari (Puglia)	2013	7.00	M







**Highlights**

- Large filter feeding marine vertebrates can assume microplastics during feeding
- Micro-debris can be carrier of plastic additives and persistent organic pollutants
- Planktivorous species can accumulate high levels of contaminants released by microdebris
- Phthalates can be used as tracer of plastic ingestion in whales and basking sharks
- Basking shark and fin whale as sentinel species for descriptors 8 and 10 EU MSFD